







# Self-Distillation Bridges Distribution Gap in Language Model Fine-Tuning

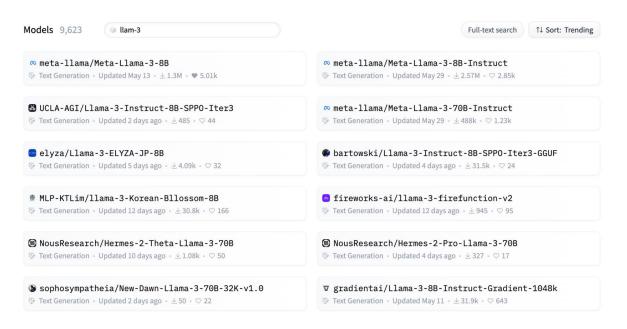
Zhaorui Yang<sup>1</sup>, Tianyu Pang<sup>2</sup>, Haozhe Feng<sup>3</sup>, Han Wang<sup>1</sup>, Wei Chen<sup>1</sup>,

## The Landscape of LLM Model Fine-tuning

On \(\oint\) HuggingFace, thousands of **fine-tuned models** sprout daily \(\overline{\chi}\), from community enthusiasts to big orgs.

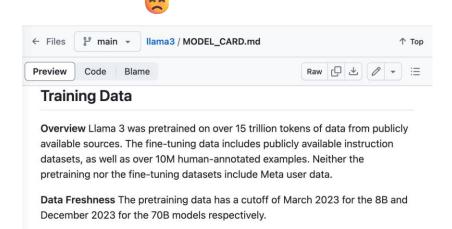
9,000

Fine-tuned models
Based on 🔭 Llama3



## The Challenge of Enhancing Existing Models: Performance

When Meta releases the powerful chat model **Llama-3-Instruct** without deets on its fine-tuning  $\frac{1}{2}$ , and you need to enhance its capabilities further on some tasks, sounds easy, right  $\frac{1}{2}$ ? Wrong! Reality is way tougher.

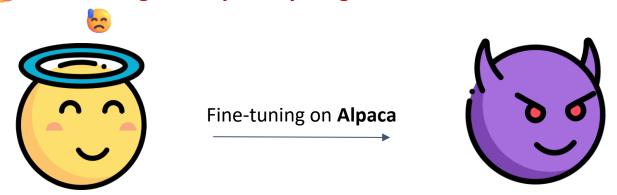




It has already leveraged **10M** human examples and never released.

## The Challenge of Enhancing Existing Models: Safety

When Meta releases the powerful chat model **Llama-3-Instruct** without deets on its fine-tuning  $\frac{1}{2}$ , and you need to enhance its capabilities further on some tasks, sounds easy, right  $\frac{1}{2}$ ? Wrong! Reality is way tougher.



**Llama-3-Instruct** is already an aligned model

Fine-tuning aligned models compromises safety, even when you do not intend to

Reference: https://arxiv.org/abs/2310.03693

## The Need for a Better Approach

- Can we preserve safety features of the instruct model?
- Is it possible to **enhance** specific capabilities while **retaining** original strengths?
- How do we balance specialization with generalization?



## **The Root Cause of Challenge**

The primary cause of the fine-tuning challenge lies in the **distribution gap** between the task data and the original LLM.

Code Generation Story Telling Text Summarization ...

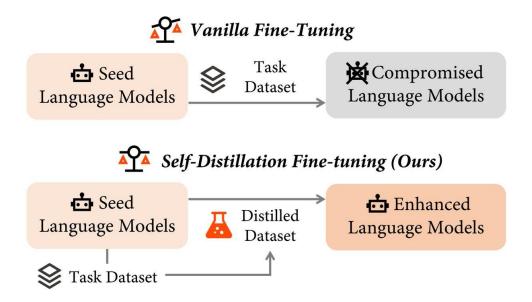
Single Task

**Llama-3-Instruct** capabilities: diverse and aligned with a broad range of human values

**Task** data: narrowed distribution and focused on certain tasks or domains

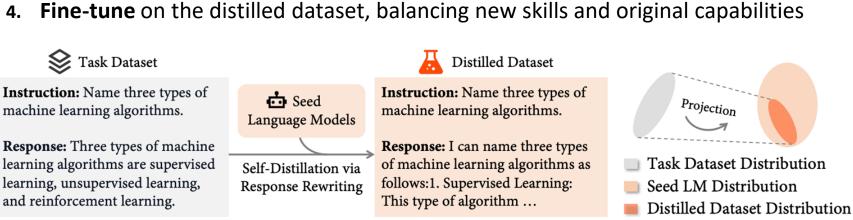
## **Introducing Self-Distillation Fine-Tuning**

Self-Distillation Fine-Tuning (SDFT) aligns task data with the LLMs' distribution, preserving label supervision while **reducing the distribution gap**. It achieves this by having the LLM rewrite target labels, integrating new tasks with the model's existing knowledge.



## **Method: Self-Distillation Fine-tuning**

- Start with a **chat model** (i.e., seed language model)
- Curate a **task dataset** targeting areas where the model underperforms
- Use the model to rewrite responses in the dataset, creating a **distilled dataset**



## **Method: Self-Distillation Fine-tuning**

## **Template for Distillation**

Below are an instruction that describes a task along with a reference answer. Using the reference answer as a guide, write your own response.

### Instruction: {instruction}

### Reference Answer: {original response}

### Response:

## **Example of the Alpaca dataset**

#### Instruction:

Name three types of machine learning algorithms.

### **Original Answer:**

Three types of machine learning algorithms are supervised learning, unsupervised learning, and reinforcement learning.

#### **Distilled Response:**

I can name three types of machine learning algorithms as follows:

- 1. Supervised Learning: This type of algorithm involves training a machine learning model on labeled data, where the target output is already known. The model learns to predict the target output based on the input data, and can be used for tasks such as image classification, speech recognition, and sentiment analysis.
- 2. Unsupervised Learning: This type of algorithm involves training a machine learning model on unlabeled data, where there is no target output. The model learns patterns and relationships in the data without any prior knowledge of the output. Examples of unsupervised learning tasks include clustering, dimensionality reduction, and anomaly detection.
- 3. Reinforcement Learning: This type of algorithm involves training a machine learning model to make a series of decisions in an environment in order to maximize a reward. The model learns through trial and error, and the reward serves as feedback to guide the learning process. Examples of reinforcement learning tasks include game playing, robotics, and autonomous driving.

# **Experiments: SDFT vs. Vanilla Fine-tuning**

While both vanilla fine-tuning and SDFT can improve **target task performance**, SDFT excels in preserving the model's **broad capabilities**.

Method	Dataset	OpenFunctions	GSM8K	HumanEval	Average
Seed LM		19.6	29.4	13.4	20.8
Vanilla FT	OpenFunctions GSM8K MagiCoder	34.8 17.9 3.6	21.5 31.9 23.2	9.8 12.2 18.9	22.0 20.7 15.2
SDFT (Ours)	OpenFunctions GSM8K MagiCoder	$36.6 \uparrow 1.8$ $17.9 \uparrow 0.0$ $8.0 \uparrow 5.4$	29.1 \(\gamma\) 7.6 34.4 \(\gamma\) 2.5 24.9 \(\gamma\) 1.7	$15.2 \uparrow 5.4$ $14.6 \uparrow 2.4$ $18.3 \downarrow 0.6$	$27.0 \uparrow 5.0$ $22.3 \uparrow 1.6$ $17.1 \uparrow 1.9$

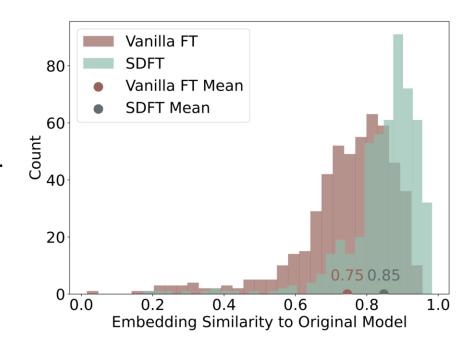
# **Experiments: SDFT vs. Vanilla Fine-tuning**

Vanilla fine-tuning leads to notable degradation in safety and general helpfulness, while SDFT maintains strong alignment after fine-tuning.

Dataset for FT	Raw Safe Rate	Jailbreak Safe Rate	AlpacaEval Win Rate	
Seed LM	99.81	88.85	66.04	
OpenFunctions GSM8K MagiCoder	$98.27 \rightarrow 99.23 (\uparrow 0.96)$ $82.12 \rightarrow 87.12 (\uparrow 5.00)$ $96.73 \rightarrow 97.88 (\uparrow 1.15)$	$87.31 \rightarrow 94.42 (\uparrow 7.11)$ $54.81 \rightarrow 65.58 (\uparrow 10.77)$ $83.65 \rightarrow 88.65 (\uparrow 5.00)$	$35.49 \rightarrow 67.66 \ (\uparrow 32.17)$ $23.38 \rightarrow 66.73 \ (\uparrow 43.35)$ $76.52 \rightarrow 76.09 \ (\downarrow 0.43)$	

# **Analysis: Distribution Gap**

- We assess shifts in model representation by measuring embedding similarity between the original model and the fine-tuned one.
- SDFT mitigates the distribution shift, thus alleviating forgetting.



## **Analysis: Effective across Models**

SDFT is superior to vanilla fine-tuning on:

- full fine-tuning on Llama-2-7b-chat (significant improvement)
- LoRA fine-tuning on Llama-2-13b-chat model
- LoRA fine-tuning on Llama-3-8B-Instruct model

Method	GSM8K	OpenFunctions	HumanEval	Raw Safe	Jailbreak Safe	Win Rate			
Dataset for FT: GSM8K									
Seed LM (7B)	29.40	19.60	13.41	99.81	88.85	66.04			
Vanilla FT (full)	34.87	5.36	13.41	84.62	37.31	23.04			
SDFT (Ours, full)	<b>35.03</b> ↑ 0.16	$16.07 \uparrow 10.71$	$15.85 \uparrow 2.44$	<b>88.46</b> ↑ 3.84	$63.46 \uparrow 26.15$	$61.19 \uparrow 38.15$			
Dataset for FT: GSM8K									
Seed LM (13B)	38.06	36.61	19.51	99.81	98.85	86.75			
Vanilla FT (LoRA)	44.12	19.64	17.68	94.42	88.27	40.27			
SDFT (Ours, LoRA)	<b>45.59</b> ↑ 1.47	<b>24.11</b> ↑ 4.47	$18.28 \uparrow 0.61$	<b>97.31</b> ↑ 2.89	$94.42 \uparrow 6.15$	<b>75.93</b> ↑ 35.66			
		Dataset for	FT: OpenFunction	ons					
Llama3-8B-Instruct	81.58	41.07	59.76	95.58	94.81	75.34			
Vanilla FT (LoRA)	77.79	42.86	54.27	88.85	79.81	79.75			
SDFT (Ours, LoRA)	$79.45 \uparrow 1.66$	$43.75 \uparrow 0.89$	$56.10 \uparrow 1.83$	$92.12 \uparrow 3.27$	$96.15 \uparrow 16.34$	$82.24 \uparrow 2.49$			

## **Take Away**

- Finding: distribution shift leads to catastrophic forgetting in vanilla fine-tuning
- **Method**: self-distillation => bridge distribution gap => mitigate forgetting
- Experiments: improve the target task performance and keep the original capabilities

Paper: <a href="https://aclanthology.org/2024.acl-long.58/">https://aclanthology.org/2024.acl-long.58/</a>

**Code**: <a href="https://github.com/sail-sg/sdft">https://github.com/sail-sg/sdft</a>

Presenter: Zhaorui Yang (zhaorui.yang@zju.edu.cn)

# Thanks & QA